

Customer No.	026418	<b>10/505255</b>
Attorney's Docket No.:	GK-EIS-1083 / 500593.20075	
U.S. Application No.:		
International Application No.:	PCT/EP03/01729	
International Filing Date:	FEBRUARY 20, 2003	20 FEBRUARY 2003
Priority Date Claimed:	FEBRUARY 21, 2002	21 FEBRUARY 2002
Title of Invention:	HEADPHONE SET	
Applicant(s) for (DO/EO/US):	Johannes KAULFUSS and Axel GRELL	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
- a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ has been transmitted by the international Bureau
- c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☒ 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
- a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
- b) ☐ have been transmitted by the International Bureau
- c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
- d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☒ 9. An **UNSIGNED** Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] **EXECUTED Decl/POA - TO FOLLOW**
- ☒ 10. A translation of (New Claim 6) the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 16. Below concern other document(s) or information included:

- ☒ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ 13. ☒ A **FIRST** preliminary amendment  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☒ 14. A substitute specification with abstract (attached to the preliminary amendment)
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) Request (PCT/RO/101), PCT/IB/304 6MAY03, PCT/IPEA/401 (Demand) 20AUG03, 1st page of Publication No. WO 03/071830 28AUG03, PCT/IB/332 15OCT03, PCT/IPEA/408 21JAN04, REPLY (to '408) dated 21APR04 w/New Claim 6, PCT/IPEA/416 and 409 6MAY04, Search Report 4AUG03 (PCT/ISA/220 and 210) and PTO-1449 w/7 cited references incl. 1 English Abstract and 1 US Equivalent.

EXPRESS MAIL No.: EV 302 914 503 US

Deposited: August 20, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner For Patents, P.O. Box 1450, Alexandria VA 22313 1450

  
/Ruth Montalvo Date: August 20, 2004

CALCULATIONS

PTO USE ONLY

**[X]** 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

<b>[X]</b> Search Report has been prepared by the EPO or JPO	\$ 920.00
<b>[ ]</b> International preliminary examination fee paid to USPTO [37 CFR 1.482]	\$ 730.00
<b>[ ]</b> No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]	\$ 770.00
<b>[ ]</b> Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO	\$ 1,080.00
<b>[ ]</b> International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)	\$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$920.00

Claims

Number  
FiledNumber  
Extra

Rate

Total Claims (Prel.Amt)  
Indep. Claims6  
2-20  
-03x \$ 18. =  
x \$ 86. =**[ ]** Multiple Dependent Claim(s) (if applicable) + \$ 290. =

TOTAL OF ABOVE CALCULATIONS:

\$920.00

Surcharge of \$130.00 for furnishing the oath or declaration later than **[ ]** 20 **[ ]** 30 months from the earliest claimed priority date [37 CFR 1.492(e)]

TOTAL OF ABOVE CALCULATIONS:

\$920.00

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by ½ for filing by small entity

SUBTOTAL:

\$920.00

Processing fee of \$130.00 for furnishing the English Translation later than **[ ]** 20 **[ ]** 30 months from the earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE:

\$920.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property+

TOTAL FEE(S):

\$920.00

AMOUNTS TO BE  
REFUNDED OR CHARGEDREFUNDED  
CHARGED\$  
\$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

**[X]** Check in the amount of \$ 920.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)**NOTE:** Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Gerald H. Kiel

Name

Signature

25,116  
Reg. No.August 20, 2004  
Date

(Tel. (212) 521-5400)

10/505255

DT09 Rec'd PCT/PTO 20 AUG 2006

IN THE UNITED STATES PATENT AND TRADE MARK OFFICE

VERIFICATION OF TRANSLATION

I, Michael Wallace Richard Turner, Bachelor of Arts, Chartered Patent Attorney, European Patent Attorney, of 1 Horsefair Mews, Romsey, Hampshire SO51 8JG, England, do hereby declare that I am conversant with the English and German languages and that I am a competent translator thereof;

I verify that the attached English translation is a true and correct translation made by me of the attached specification in the German language of International Application PCT/EP03/01729;

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: August 5, 2004

Michael Wallace Richard Turner

M W R Turner